

07-31-01

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Practitioner's Docket No. RPS920000117US1

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): William Joseph Piazza

For (title): **METHOD AND SYSTEM FOR IDENTIFYING COMPATIBILITY BETWEEN  
 FIRMWARE IMAGES**

## 1. Type of Application

This transmittal is for an original (nonprovisional) application.

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 CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*
 

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I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
 Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"  
 Mailing Label No. ET075897808US  
 (mandatory)

## TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_

Date: 7-30-2001

Signature

  
 Amirah Scarborough

(type or print name of person certifying)

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**2. Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

20 Page(s) of Specification  
12 Page(s) of Claims  
4 Sheet(s) of Drawing(s)—informal

B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Assignment**

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

**4. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

**CLAIMS AS FILED**

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
<b>Total Claims</b>	<b>52</b>	<b>20</b>	<b>32</b>	<b>\$18.00</b>	<b>\$576.00</b>
<b>Independent Claims</b>	<b>6</b>	<b>3</b>	<b>3</b>	<b>\$80.00</b>	<b>\$240.00</b>
<b>Multiple Dependent Claims</b>					<b>\$0.00</b>
<b>Filing Fee Calculation</b>					<b>\$1,526.00</b>

**5. Fee Payment Being Made at This Time**

Enclosed	
Filing Fee	\$1,526.00
Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$40.00
<b>Total Fees Enclosed</b>	<b>\$1,566.00</b>

**6. Method of Payment of Fees**

Charge Account No. **50-0563** in the amount of \$1,566.00.  
A duplicate of this transmittal is attached.

**7. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. **50-0563**.

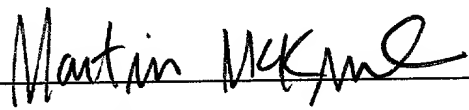
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

**8. Instructions as to Overpayment**

Credit Account No. **50-0563**.

Date: 7-30-01

  
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